

# Optimal BSTs

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A binary search tree is a data structure for storing & searching for keys in a database.

Keys from a total order

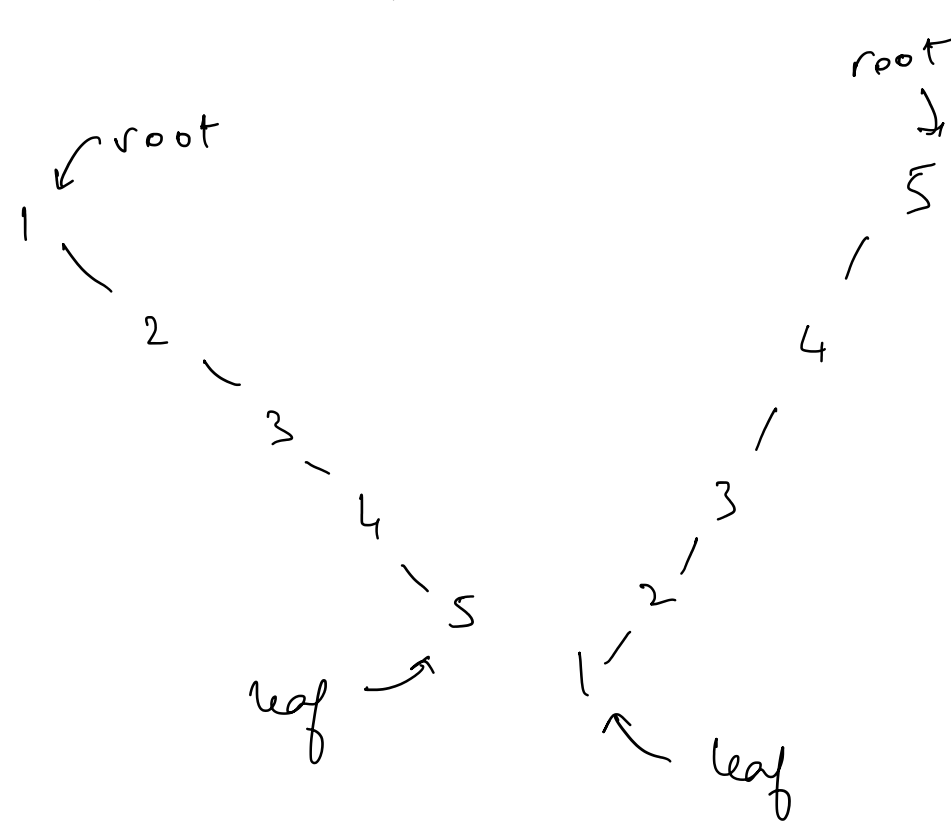
Given  $n$  keys  $a_1 < a_2 < \dots < a_n$

BST stores these keys as nodes of a binary tree where for a node  $v$ ,

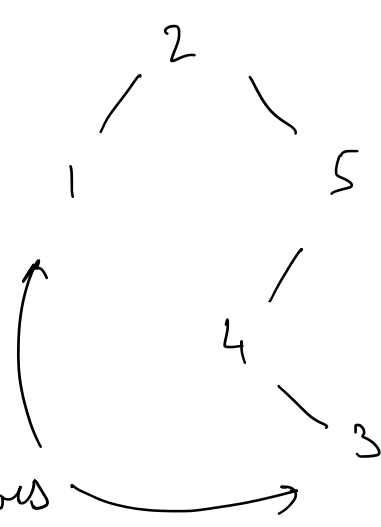
all keys in left subtree of  $v <$  key at  $v <$  all keys in right subtree of  $v$

**Examples:** keys are 1, 2, 3, 4, 5

Possible BSTs:



or:



(Tree terminology: root, child, parent, leaf, vertex/node, ancestor, descendent, height of a node)

**Problem** Given  $n$  keys  $a_1 < a_2 < \dots < a_{n-1} < a_n$   
 Prob of access  $p_1 \quad p_2 \quad \dots \quad p_{n-1} \quad p_n$

$$\text{Given a tree } T, \text{ cost}_T = \sum_{i=1}^n p_i \text{height}_T(a_i)$$

(assume root is at height 1)

Find a BST of minimum cost  
 Will use dynamic programming

## ① Optimal Substructure:

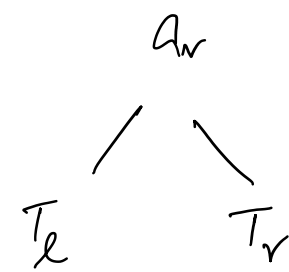
Say  $a_r$  is root of optimal BST.

Then:  $a_1 \dots a_{r-1}$  are in  $T_L$

$a_{r+1} \dots a_n$  are in  $T_R$

AND:  $T_L$  is optimal BST for  $a_1 \dots a_{r-1}$

$T_R$  is " " for  $a_{r+1} \dots a_n$



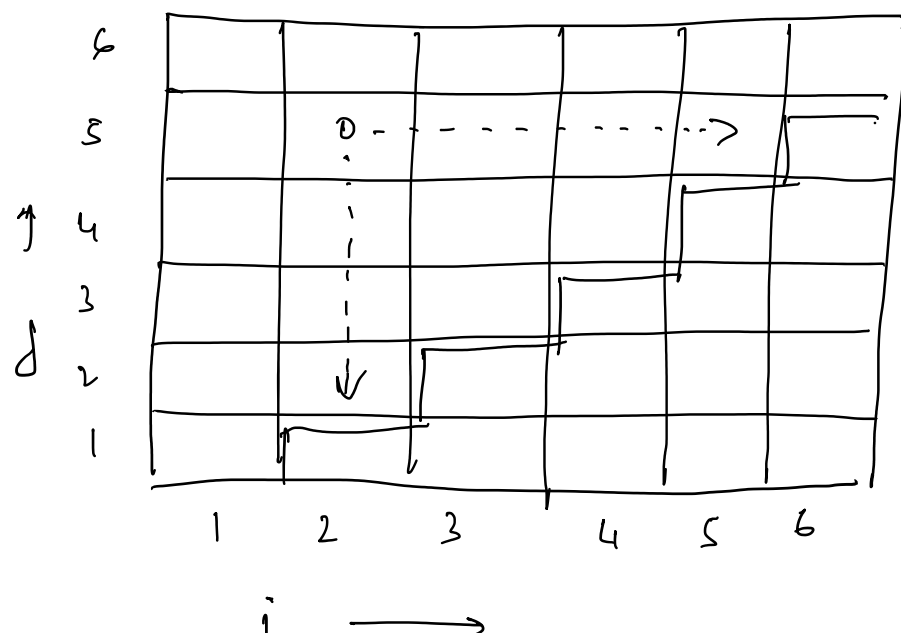
$$\begin{aligned} \text{cost}(T) &= p_r + \sum_{i < r} p_i (1 + \text{ht}_{T_L}(a_i)) + \sum_{i > r} p_i (1 + \text{ht}_{T_R}(a_i)) \\ &= \sum_{i=1}^n p_i + \underbrace{\sum_{i < r} p_i \text{ht}_{T_L}(a_i)}_{\text{cost}(T_L)} + \underbrace{\sum_{i > r} p_i \text{ht}_{T_R}(a_i)}_{\text{cost}(T_R)} \quad (*) \end{aligned}$$

## ② Recurrence: $A(i, j) =$ optimal cost of BST for elts $i \dots j$ (assume $i \leq j$ )

$$A(i, j) = \sum_{k=i}^j p_k + \min_{i \leq k \leq j} \{ A(i, k-1) + A((k+1), j) \}$$

(from  $(*)$ )

## ③ Table:



Time complexity is  $O(n^3)$

**PROBLEM:** Write down algo your self.